

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Federico Caccavale, et al.

Serial No: 10/666505

Group Art Unit:

Filing Date: September 19, 2003

Examiner:

Title: INTEGRATED OPTICAL CHIP

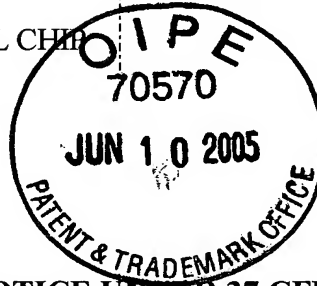
REPLY

Mail Stop Duplicates

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450



RESPONSE TO NOTICE UNDER 37 CFR 1.251

In the attached Notice mailed April 13, 2005, Applicant was asked to provide a copy of a paper pertaining to the above-identified application which could not be located. The paper was identified as "Foreign reference filed on 09/19/03"; no further information as to the identity of this reference was provided. The undersigned assumed that the missing reference is International Publication Number WO 91/03748, as cited in Applicant's Information Disclosure Statement dated 09/19/03 under the heading "Foreign Patent Documents". The undersigned placed a telephone call to the Image Assistance Center/Customer Service (as listed on the bottom of the Notice) on June 7, 2005, and he was told that indeed this is the paper that was listed as missing.

Therefore, a copy of that paper is attached, along with a copy of the Notice, as requested.

Date: JUNE 8, 2005

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on JUNE 8, 2005

Date of Deposit

Ronald J Paglierani

Name of applicant, assignee, or
Registered Representative

Signature

6/8/05

Date of Signature

Respectfully submitted,
CORNING INCORPORATED

Ronald J Paglierani

Registration No. 29,201

Corning Incorporated

Patent Department

Mail Stop SP-TI-03-1

Corning, NY 14831

In re Application of: CACCAVALE, FEDERICO et al.
Application No. 10666505
Filing Date: 09/19/03
Title: INTEGRATED OPTICAL CHIP
Direct to: U.S. Patent and Trademark Office
Mail Stop: Duplicates
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**NOTICE UNDER 37 CFR 1.251 – Pending Application**

Statement (check the appropriate box):

☐ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☒ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

JUNE 8, 2005
Date

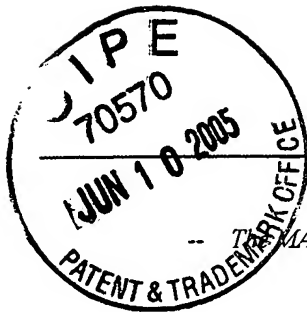
Ronald J. Paglierani
Signature

Ronald J. Paglierani
Typed or printed name

Reg. No. 29,201

A Copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

-- THE MAILING DATE of this communication appears on the cover sheet with the correspondence address --

NOTICE UNDER 37 CFR 1.251 - Pending Application

☐ The file on the cover sheet cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the identified application that is not among applicant's records.

☒ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Foreign Reference filed on 09/19/03

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

If applicant does not possess any record of the correspondence between the Office and the applicant for the application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Failure to reply to this notice in a timely manner will result in abandonment of the above-identified application. The three-month period for reply to this notice may be extended (up to a maximum of six months) under the provisions of 37 CFR 1.136(a). However, failure to reply within this three-month period will result in a reduction of any patent term adjustment. See 37 CFR 1.704(b).

☐ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

U.S. Patent and Trademark Office
Mail Stop: **Duplicates**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

Image Assistance Center/ Customer Service

1-(888)-786-0101

PTO Doc Code: R251.NTC (Rev. 03/05)

Division S+T Date 6/8/05
INVENTOR Gobbi, et al.
CASE No. UK 02-013
SERIAL No. 10/60606, 505
FILED 09/19/03
ATTORNEY RJP

The Patent and Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below:

- ☐ _____ PAGES, PROVISIONAL APPLICATION PAPERS
☐ _____ PAGES, APPLICATION PAPERS
TOTAL CLS. _____ FEE \$ _____
☐ _____ SHEETS OF DRAWINGS
FORMAL/INFORMAL FIGURE NO. _____
☐ ASSIGNMENT ☐ DECLARATION WITH
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